

# On the Reaxiomatisation of General Topology

Paul Taylor

Department of Computer Science  
University of Manchester  
UK EPSRC GR/S58522

White Point, Nova Scotia  
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[www.cs.man.ac.uk/~pt/ASD](http://www.cs.man.ac.uk/~pt/ASD)

# Topological spaces

A **topological space** is a set  $X$  (of **points**) equipped with a set of (“**open**”) subsets of  $X$  closed under finite intersection and arbitrary union.

# Wood and chipboard

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**Chipboard** is a set  $X$  of particles of **sawdust** equipped with a quantity of **glue** that causes the sawdust to form a cuboid.

# Classifying subobjects

In a **topos** there is a **bijective** correspondence

- ▶ between **subobjects**  $U \rightrightarrows X$
- ▶ and **morphisms**  $X \longrightarrow \Omega$ .

The exponential  $\Omega^X$  is the **powerset**.

Similarly **upper subsets** of a poset or CCD-lattice.

$$\begin{array}{ccc} U & \longrightarrow & \mathbf{1} \\ \downarrow \lrcorner & & \downarrow \top \\ X & \cdots \longrightarrow & \Omega \end{array}$$

# Classifying open subspaces

In a **topos** there is a **bijective** correspondence

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The exponential  $\Omega^X$  is the **powerset**.

Similarly **upper subsets** of a poset or CCD-lattice.

In **topology** there is a **three-way** correspondence

- ▶ amongst **open** subspaces  $U \hookrightarrow X$ ,
- ▶ **morphisms**  $X \longrightarrow \Sigma \equiv \begin{pmatrix} \odot \\ \bullet \end{pmatrix}$ ,
- ▶ and **closed** subspaces  $C \hookrightarrow X$ .

This is **not set-theoretic complementation**.

The exponential  $\Sigma^X$  is the **topology**.

# Topology as $\lambda$ -calculus — Basic Structure

The category  $\mathcal{S}$  (of “spaces”) has

- ▶ an **internal distributive lattice**  $(\Sigma, \top, \perp, \wedge, \vee)$
- ▶ and all **exponentials** of the form  $\Sigma^X$

We do **not** ask for **all** exponentials (**cartesian closure**).  
At least, not as an **axiom**.

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- ▶ **finite products**
- ▶ an **internal distributive lattice**  $(\Sigma, \top, \perp, \wedge, \vee)$
- ▶ and all **exponentials** of the form  $\Sigma^X$
- ▶ satisfying
  - ▶ for sets, the **Euclidean principle**

$$\sigma \wedge F\sigma \iff \sigma \wedge F\top$$

- ▶ for posets and CCD-lattices, the Euclidean principle and **monotonicity**
- ▶ for spaces, the **Phoa principle**

$$F\sigma \iff F\perp \vee \sigma \wedge F\top$$

The Euclidean and Phoa principles capture **uniqueness** of the correspondence amongst open and closed subspaces of  $X$  and maps  $X \rightarrow \Sigma$  (**extensionality**).



## Advantages of this approach

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Sometimes it's one you wouldn't have thought of.

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This duality is **obscured** in

- ▶ **traditional topology** and **locale theory** by  $\vee/\wedge$
- ▶ **constructive** and **intuitionistic analysis** by  $\neg\neg$ .

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The theory is intrinsically **computable in principle**.

General topology is unified with **recursion theory**.

Recursion-theoretic **phenomena** appear.

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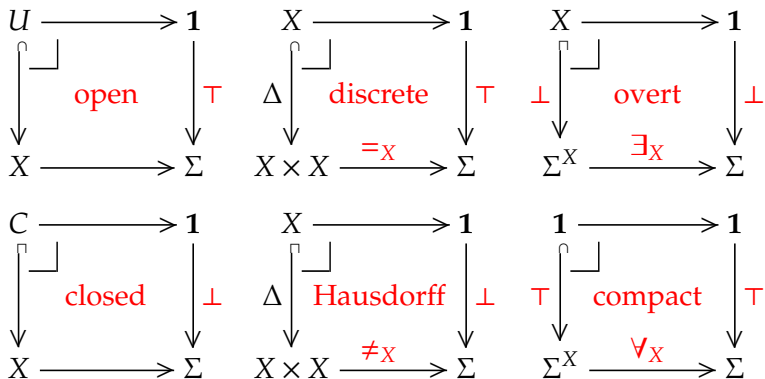
General topology is unified with **recursion theory**.

Recursion-theoretic **phenomena** appear.

There is no need for recursion-theoretic **coding**.

However, extracting executable programs is not obvious.

## Some familiar definitions



The **Frobenius** laws for  $\exists_X + \Sigma^{!X} + \forall_X$ ,

$$\exists_X(\sigma \wedge \phi) \iff \sigma \wedge \exists_X(\phi) \quad \text{and} \quad \forall_X(\sigma \vee \phi) \iff \sigma \vee \forall_X(\phi),$$

are special cases of the Phoa principle.

## Some familiar theorems

Any **closed** subspace of a **compact** space is **compact**.

Any **compact** subspace of a **Hausdorff** space is **closed**.

The **inverse** image of any **closed** subspace is **closed**.

The **direct** image of any **compact** subspace is **compact**.

## Some less familiar theorems

Any **open** subspace of a **overt** space is **overt**.

Any **overt** subspace of a **discrete** space is **open**.

The **inverse** image of any **open** subspace is **open**.

The **direct** image of any **overt** subspace is **overt**.



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**Dcpo** has the basic structure, plus equalisers and all exponentials.

$2^{\mathbb{N}}$  exists, and carries the **discrete** order.

The Dedekind and Cauchy reals may be defined.  
They also carry the discrete order.

In this category, **the order determines the topology**.  
The topology is **discrete**.

$2^{\mathbb{N}}$  and  $\mathbb{I}$  are **not compact**.

# Abstract Stone Duality

The category of topologies is  $\mathcal{S}^{\text{op}}$ ,  
the **dual** of the category  $\mathcal{S}$  of “spaces”.

**Monadic axiom:** It's also the category of  
**algebras** for a monad on  $\mathcal{S}$ .

Inspired by Robert Paré, *Colimits in topoi*, 1974.

$$\begin{array}{ccc} & \mathcal{S}^{\text{op}} & \\ \Sigma(-) \uparrow & | & \downarrow \Sigma(-) \\ & \dashv & \\ & \mathcal{S} & \end{array}$$

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Jon Beck (1966) characterised monadic adjunctions:

- ▶  $\Sigma(-) : \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}$  **reflects invertibility**,  
i.e. if  $\Sigma f : \Sigma Y \cong \Sigma X$  then  $f : X \cong Y$ , and
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Category theory is a strong drug —  
it must be taken in small doses.

As in homeopathy (?),

**it gets more effective the more we dilute it!**

## Diluting Beck's theorem (first part)

If  $\Sigma f : \Sigma Y \cong \Sigma X$  then  $f : X \cong Y$ .

$X$  is the **equaliser** of

$$X \xrightarrow{\eta_X} \Sigma^2 X \equiv \Sigma^{\Sigma X} \begin{array}{c} \xrightarrow{\eta_{\Sigma^2 X}} \\ \xrightarrow{\Sigma^2 \eta_X} \end{array} \Sigma^4 X$$

where  $\eta_X : x \mapsto \lambda \phi. \phi x$ .

(Without the axiom, an object  $X$  that has this property is called **abstractly sober**.)

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There's an equivalent type theory for general spaces  $X$ .

For  $X \equiv \mathbb{N}$  this is definition by description and general recursion.

For  $X \equiv \mathbb{R}$  it is **Dedekind completeness**.

## Diluting Beck's theorem (second part)

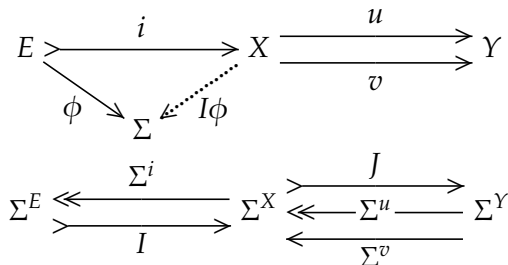
$\Sigma^{(-)} : \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}$  creates  $\Sigma^{(-)}$ -split coequalisers.

Recall that a  $\Sigma$ -split pair  $(u, v)$  has some  $J$  such that

$$\Sigma^u ; J ; \Sigma^v = \Sigma^v ; J ; \Sigma^u \quad \text{and} \quad \text{id}_{\Sigma^X} = J ; \Sigma^u$$

Then their equaliser  $i$  has a splitting  $I$  such that

$$i ; u = i ; v, \quad \text{id}_{\Sigma^E} = I ; \Sigma^i \quad \text{and} \quad \Sigma^i ; I = J ; \Sigma^v.$$



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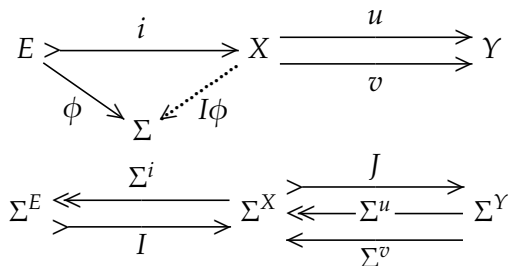
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This means that (*certain*) **subspaces** exist, and they have the **subspace topology** — every open subspace of  $E$  is the restriction of one of  $X$ , **in a canonical way**.

# Applications of $\Sigma$ -split subspaces

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It can, however, be used to prove that  $\Sigma$  is a **dominance** or **classifier for open inclusions** (closed ones too).

We may also construct

- ▶ the **lift** or **partial map classifier**  $X_{\perp}$ ,
- ▶ **Cantor space**  $2^{\mathbb{N}}$ , and
- ▶ the **Dedekind reals**  $\mathbb{R}$ .

Moreover,  **$2^{\mathbb{N}}$  and  $\mathbb{I}$  are compact.**

More generally, it can be used to develop an abstract, finitary axiomatisation of the  $\ll$  relation for continuous lattices.

The free model is equivalent to the category of **computably based locally compact locales** and **computable continuous functions**.

# Overt discrete objects

Recall: **discrete** spaces have **equality** ( $=$ ),  
**overt** spaces have **existential quantification** ( $\exists$ ).

These play the role of **sets**.

For example, to **index** the basis of a locally compact space.



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The full subcategory  $\mathcal{E} \subset \mathcal{S}$  of overt discrete spaces has:

- ▶ finite products,
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- ▶ definition by description.

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This is a **miracle**.

None of the usual structure of categorical logic  
was **assumed** in order to make it happen.

# Lists and finite subsets

On any **overt discrete** object  $X$ , there exist

- ▶ the **free semilattice**  $KX$  or “**set of Kuratowski-finite subsets**” and
- ▶ the **free monoid**  $ListX$  or “**set of lists**”.

So  $\mathcal{E}$  (the full subcategory of overt discrete objects) is an **Arithmetic Universe**.

**Kuratowski-finite** = **overt, discrete and compact**.

**Finite** = **overt, discrete, compact and Hausdorff**.

## Models of the monadic axiom

It is **easy** to find models of the monadic axiom.

If  $\mathcal{S}_0$  has  $\mathbf{1}$ ,  $\times$  and  $\Sigma^{(-)}$ , then  $\mathcal{S} \equiv \mathcal{A}^{\text{op}}$  also has them, *and* the monadic property, where  $\mathcal{A}$  is the category of Eilenberg–Moore algebras for the monad on  $\mathcal{S}$ .

It also inherits

- ▶ the other basic structure ( $\top$ ,  $\perp$ ,  $\wedge$ ,  $\vee$  and the Euclidean or Phoa axioms),
- ▶  $\mathbb{N}$  (with recursion and description),
- ▶ the Scott principle.

However, it need not inherit other structure such as being cartesian closed or (a reflective subcategory of) a topos.

We call  $\mathcal{S}$  the **monadic completion** of  $\mathcal{S}_0$  and write  $\overline{\mathcal{S}_0}$  for it.

# Escaping from local compactness

Most of the ideas that you try take you back in again!

# Escaping from local compactness

The **extended calculus** should include

- ▶ all finite limits (in particular **equalisers**),
- ▶ **something** to control the **relationship** between equalisers and exponentials ( $\Sigma^{(-)}$ ).

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Less ambitiously, we look for axioms that ensure that  $\mathcal{S}$  includes the category **Loc**( $\mathcal{E}$ ) of **locales**, or at least the category **Sob**( $\mathcal{E}$ ) of **sober spaces** or **spatial locales**.



# An interim model

Dana Scott's category **Equ** of **equilogical spaces**

- ▶ has the **basic structure**,  $\mathbb{N}$  and the **Scott principle**,
- ▶ includes all **sober spaces** (in the traditional sense) as **abstractly sober** objects, and
- ▶ satisfies the **underlying set axiom** (to follow).

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This is not the **definitive** model.

We just use it to guarantee **consistency** of the proposed axioms.

# The Underlying Set Axiom

Recall that the **underlying set functor**  $\mathbf{U}$  from the classical category  $\mathbf{Sp}$  of (not necessarily  $T_0$ ) spaces has adjoints

$$\begin{array}{ccccc} & & \mathbf{Sp} & & \\ & \uparrow & | & \uparrow & \\ \text{discrete} \equiv \Delta & \dashv & \mathbf{U} & \dashv & \text{indiscriminate} \\ & \downarrow & | & \downarrow & \\ & & \mathbf{Set} & & \end{array}$$

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In ASD,  $\mathbf{Sp}$  becomes  $\mathcal{S}$  and  $\Delta : \mathbf{Set} \subset \mathbf{Sp}$  becomes  $\mathcal{E} \subset \mathcal{S}$ .

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**Underlying set axiom:**  $\Delta$  has a right adjoint  $\mathbf{U}$ .

Again, there's a corresponding **type theory**:

$$\frac{a : X}{\tau.a : \mathbf{U}X} \quad a = \varepsilon(\tau.a)$$

so long as the **free variables** of  $a$  are all of **overt discrete** type.

# Overt discrete objects form a topos

**Lemma:** Any **mono**  $X \rightarrow D$  from an overt object to a discrete one is an open inclusion, and therefore classified by  $\Sigma$ .

**Theorem:**

- ▶ The **underlying set** axiom  $\Delta \dashv \mathbf{U}$  holds
- ▶ iff  $\mathcal{S}$  is **enriched** over  $\mathcal{E}$ , where

$$\mathcal{S}(X, Y) \rightrightarrows \mathbf{U}\Sigma^{\Sigma^Y \times X} \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{U}\Sigma^{\Sigma^3 Y \times X}$$

is an equaliser in  $\mathcal{E}$ ,

- ▶ and then  $\mathcal{E}$  is an elementary **topos** with  $\Omega \equiv \mathbf{U}\Sigma$ .

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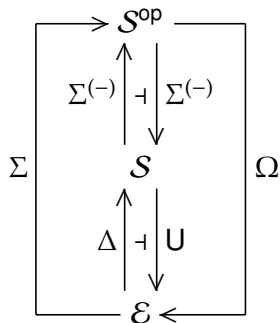
- ▶ and then  $\mathcal{E}$  is an elementary **topos** with  $\Omega \equiv \mathbf{U}\Sigma$ .

Now we can compare **our** category  $\mathcal{S}$  with  $\mathbf{Loc}(\mathcal{E})$  and  $\mathbf{Sob}(\mathcal{E})$ .



# Comparing the monads

We have a composite of adjunctions over the topos  $\mathcal{E}$ :



The monad  $\Omega \cdot \Sigma$  on  $\mathcal{E}$  is (isomorphic to) that for frames iff the general Scott principle holds,

$$\Phi\xi \iff \exists \ell : K(N). \Phi(\lambda n. n \in \ell) \wedge \forall n \in \ell. \xi n,$$

where  $N$  is any object of the topos  $\mathcal{E}$ , not necessarily countable,  $\xi : \Sigma^N$  and  $\Phi : \Sigma^{\Sigma^N}$ .

## Comparing $\mathcal{S}$ with $\mathbf{Loc}(\mathcal{E})$

Assuming the general Scott principle as an **axiom**,

$\mathbf{Loc}(\mathcal{E})$  is the opposite of the category of Eilenberg–Moore algebras for the monad  $\Omega \cdot \Sigma$  on  $\mathcal{E}$ .

There is an **Eilenberg–Moore comparison functor**  $\mathcal{S} \rightarrow \mathbf{Loc}(\mathcal{E})$ .

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$\mathcal{S}$  is too big — the functor is **not full or faithful**.

## Comparing $\mathcal{S}$ with $\text{Loc}(\mathcal{E})$

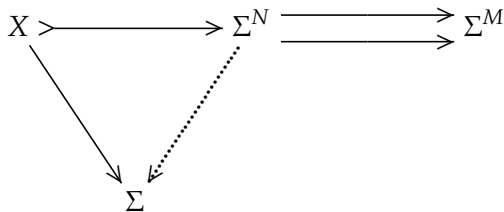
Consider the full subcategory  $\mathcal{L} \subset \mathcal{S}$   
of objects  $X$  that are expressible as equalisers

$$X \longrightarrow \Sigma^N \begin{array}{c} \longrightarrow \\ \longrightarrow \end{array} \Sigma^M$$

where  $N, M \in \mathcal{E}$ .

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where  $N, M \in \mathcal{E}$ .

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## Comparing $\mathcal{S}$ with $\text{Loc}(\mathcal{E})$

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$$X \rightrightarrows \Sigma^N \begin{array}{c} \longrightarrow \\ \longrightarrow \end{array} \Sigma^M$$

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**Axiom:**  $\Sigma$  is **injective** with respect to **these** equalisers.

**Warning:** It **cannot** be injective with respect to **all** regular monos in **whole** of  $\mathcal{S}$ .

**Example:**  $\Sigma^{\mathbb{N}^{\mathbb{N}}} \times \mathbb{N}^{\mathbb{N}} \rightrightarrows \Sigma^{\mathbb{N}^{\mathbb{N}}} \times \mathbb{N}_{\perp}^{\mathbb{N}}$ .

# Characterising sober spaces and locales

**Theorem:** If  $\Sigma$  is **injective** with respect to equalisers in  $\mathcal{L}$  then the comparison functor factorises as

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Indeed  $\mathcal{L} \cap \mathcal{P} \simeq \mathbf{Sob}(\mathcal{E})$ ,  
where  $\mathcal{P} \subset \mathcal{S}$  is the full subcategory of spaces  $X$   
with **enough points**, i.e.  $\varepsilon : \mathbf{U}X \rightarrow X$ .

Recall that  $\mathcal{S} \equiv \overline{\mathbf{E}qu}$  provides a model of these assumptions  
over any elementary topos  $\mathcal{E}$ .

**Corollary:** We have a **complete axiomatisation** of  $\mathbf{Sob}(\mathcal{E})$  over  
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**Corollary:** We have a **complete axiomatisation** of  $\mathbf{Sob}(\mathcal{E})$  over  
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Using a **stronger injectivity axiom** we would be able to force  
 $\mathcal{L} \equiv \mathbf{Loc}(\mathcal{E})$  and so completely axiomatise **locales**  
**if** we had a model or other proof of consistency.

# The extended computable theory

The injectivity axioms can only be **stated**  
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So they describe a **set theoretic** form of topology,  
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However, neither  $\overline{\mathbf{Equ}}$  nor any similar model satisfies this.

Nevertheless, **there is plenty to do** to develop the interim theory.