

# In defence of Dedekind and Heine–Borel

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Third Workshop on Formal Topology  
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[www.cs.man.ac.uk/~pt/ASD](http://www.cs.man.ac.uk/~pt/ASD)

# Abstract

As one who has been doing analysis for only two years, I hesitate to offer an axiomatisation of something so venerable as the real line.

But at a time when a number of disciplines that are constructive, computable, both or neither are at last talking to one another, we badly need such a definition so that we can agree on what we're talking about.

Let me say in my own defence that my axioms are at least headline properties in traditional analysis:

the only unfamiliar statement is that the line is overt, but there the controversial thing would be to say otherwise.

The problem is that some of my constructive allies disagree with some of the traditional properties.

# Abstract

Formalists support Cauchy and Cantor against Dedekind because they like numbers and sequences but not sets. Yet familiar examples such as Riemann integration give cuts naturally but sequences artificially. I shall show that Dedekind completeness and definition by description can naturally be expressed as  $\lambda$ -calculi.

Bishop abandoned the Heine–Borel theorem because it fails in recursive analysis, but mathematics seems to be very strange without it.

In Abstract Stone Duality this theorem is more or less an axiom. However, this axiom has a rich background, combining categorical algebra with the fundamental theorem of interval analysis.

# Abstract

So what is the *real* real line? Can we devise an experiment to justify the axioms?

Such a test is whether open subsets look like we expect them to look. Traditionally, any open subset of the real line is a countable union of disjoint open intervals. Can I prove this in ASD? Can Bishop prove it?

I shall show how a polished version of the modal notation that I introduced at CCA in Kyoto in 2005 can be used to give *two* definitions of connectedness, each of them linked to an approximate intermediate value theorem. This will be applied to the classification of open subspaces and of connected ones, and I shall conclude with some examples and counterexamples.

## Disclaimer

The presentation of this lecture as a “court case” with “witnesses” must be understood light-heartedly. In particular, you must not assume that the “evidence” attributed to the “witnesses” actually represents their views.

Generally speaking, the attributions are to be understood in the usual academic way, albeit highly abbreviated since this was a lecture and not a paper.

In some cases the connection between the person and the ideas is quite tenuous. In particular, the syntax of a language for the fragment of ASD for  $\mathbb{R}$  is linked to John Cleary’s *Logical Arithmetic via* my work on *Interval Analysis without Intervals*, which is still in progress.

# Axioms for the real line

The axioms that I propose are all headline properties in traditional analysis, apart from **overtness**, but there the controversial thing would be to say otherwise.

$\mathbb{R}$  is

- ▶ **overt**, with  $\exists$ ;
- ▶ Hausdorff, with  $\neq$ ;
- ▶ totally ordered, *i.e.*  $(x \neq y) \Leftrightarrow (x < y) \vee (y < x)$ ;
- ▶ a field, where  $x^{-1}$  is defined iff  $x \neq 0$ ;
- ▶ **Dedekind complete**; and
- ▶ Archimedean;
- ▶ and **the closed interval is compact**, with  $\forall$ .

However, some of my constructive allies disagree with some of the traditional properties.

# La legge è uguale per tutti

The case for Cauchy **against** Dedekind.

A **practical** one:

you want to see his *figures*!

A **formalist** one:

- ▶ he uses general subsets or predicates;
- ▶ he's impredicative.

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- ▶ he uses general subsets or predicates;
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The **practical defence**:

Familiar examples such as Riemann integration give cuts naturally but sequences artificially.

The **formalist defence**:

Dedekind completeness can naturally be expressed as  $\lambda$ -calculi.

The **counter-claim**:

Cauchy sequences are much more complicated to define.



## First witness for the defence: Archimedes

**Theorem:** The area of a circle ( $K$  for  $\kappa\nu\kappa\lambda\omicron\varsigma$ ) is equal to that of the right triangle  $\Delta$  formed from the radius and circumference.

**Proof:** Compare  $K$  and  $\Delta$  with the areas of the inscribed ( $I_n$ ) and circumscribed ( $E_n$ ) regular  $n$ -gons.

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Aha! Two **Cauchy sequences!**

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**Proof:** Compare  $K$  and  $\Delta$  with the areas of the inscribed ( $I_n$ ) and circumscribed ( $E_n$ ) regular  $n$ -gons.

No. First we bound the ratios  $I_n/K$  and  $E_n/K$ .

Suppose that  $K > \Delta$ .

Then  $I_n > \Delta$  for some  $n$ , which we show to be impossible.

Similarly if  $K < \Delta$  then  $E_n < \Delta$  for some  $n$ , which is also impossible.

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Similarly if  $K < \Delta$  then  $E_n < \Delta$  for some  $n$ , which is also impossible.

Actually, we show that **any upper or lower bound for  $\Delta$  is also one for  $K$ .**

# Witness: Giuseppe Peano

Il primo Formalista!

We argue the **formalist defence** of the axioms for  $\mathbb{R}$   
(in particular Dedekind completeness)  
by analogy with those for  $\mathbb{N}$ :

- ▶  $0 : \mathbb{N}$
- ▶  $n : \mathbb{N} \vdash n + 1 : \mathbb{N}$
- ▶  $0 \neq n + 1$
- ▶  $n = m \iff n + 1 = m + 1$
- ▶ induction

This defines **primitive** recursion.

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- ▶  $n = m \iff n + 1 = m + 1$
- ▶ induction
- ▶ **definition by description** or **unique choice**.

This defines **general** recursion (more or less).

# Le Discrizioni secondo Peano

*Studii di Logica Matematica*, 1897, §22.

..., sia  $\alpha$  una classe contenente un solo individuo, cioè:

- ▶ esistano degli  $\alpha$ , e
- ▶ comunque si prendano due individui  $x$  ed  $y$  di  $\alpha$ , essi siano sempre eguali.

Questo individuo lo indicheremo con  $\bar{1}\alpha$ . Sicchè

$$\exists \alpha \quad : \quad x, y \in \alpha. \supset_{x,y} .x = y \quad :\supset: \quad x = \bar{1}\alpha . = . \alpha = \bar{1}x \quad \text{Def.}$$

Veramente questa definizione dà il significato di tutta la formula  $x = \bar{1}\alpha$ , e non del solo gruppo  $\bar{1}\alpha$ .

Ma ogni proposizione contenente  $\bar{1}\alpha$

è riduttibile alla forma  $\bar{1}\alpha \in \phi$ , ove  $\phi$  è una classe;

e questa ad  $\alpha \supset \phi$ , ove è scomparso il segno  $\bar{1}$ ;

quantunque non ci riesca formare un'eguaglianza il cui primo membro sia  $\bar{1}\alpha$ , ed il secondo un gruppo di segni noti.

# Descriptions according to Peano

*Studies in Mathematical Logic*, 1897, §22.

..., let  $\alpha$  be a class containing a single member, that is:

- ▶ there is an  $\alpha$ , and
- ▶ whenever we take two things  $x$  and  $y$  from  $\alpha$ , these must always be equal.

We call this member  $\bar{\iota}\alpha$ . That is

$$(\exists x. x \in \alpha), (\forall xy. x, y \in \alpha \Rightarrow x = y) \quad \vdash \quad (x = \bar{\iota}\alpha) \iff (\alpha = \{x\}).$$

This definition really gives a meaning to the whole formula  $x = \bar{\iota}\alpha$ , and not just to the combination  $\bar{\iota}\alpha$ .

Any *proposition* containing  $\bar{\iota}\alpha$  is reducible to the form  $\bar{\iota}\alpha \in \phi$ , where  $\phi$  is a class, and hence to  $\alpha \Rightarrow \phi$ , from which the sign  $\bar{\iota}$  has disappeared,

even though we can't form an equality whose first member is  $\bar{\iota}\alpha$  and the second is a group of known symbols  
[i.e. define  $\bar{\iota}\alpha$  in terms of known symbols].



# A lambda-calculus for Descriptions

Given any predicate  $\alpha$   
for which the axioms of a description are provable,  
we may **introduce** its witness:

$$\frac{\begin{array}{l} [n : \mathbb{N}] \\ \vdots \\ \alpha n : \Sigma \end{array} \quad (\exists n. \alpha n) \quad \begin{array}{l} [\alpha n, \quad \alpha m] \\ \vdots \\ n = m : \mathbb{N} \end{array}}{(\bar{i}n. \alpha n) : \mathbb{N}}$$

# A lambda-calculus for Descriptions

The **elimination** rules recover the axioms.

The  **$\beta$ -rule** says that  $(\bar{i}n. \alpha n)$  has the property that  $\alpha$  specifies:

$$(\bar{i}n. \alpha n) = m \quad \iff \quad \alpha m.$$

As in the  $\lambda$ -calculus, this simply **substitutes** part of the context for the bound variables.

The  **$\eta$ -rule** says that any number  $m$  defines a Dedekind cut in the obvious way:

$$\alpha n \equiv (n = m).$$

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There is a **normalisation theorem** by which, as Peano says, ogni proposizione ... è **riduttibile** alla forma ...  $\alpha \supset \phi$ , ove è **scomparso** il segno  $\bar{\iota}$ , although I prefer  $\exists x. \alpha x \wedge \phi x$ .

## A lambda-calculus for Dedekind cuts

Our formulation of Dedekind cuts does not use set theory, or type-theoretic predicates of arbitrary logical strength.

Given any pair  $[\delta, \nu]$  of predicates for which the axioms of a Dedekind cut are provable, we may **introduce** a real number:

$$\frac{\begin{array}{ll} [d : \mathbb{R}] & [u : \mathbb{R}] \\ \vdots & \vdots \\ \delta d : \Sigma & \nu u : \Sigma \end{array} \quad \text{axioms for Dedekind cut}}{\text{(cut } du. \delta d \wedge \nu u) : \mathbb{R}}$$

## A $\lambda$ -calculus for Dedekind cuts

The **elimination** rules recover the axioms.

The  **$\beta$ -rule** says that  $(\text{cut } du. \delta d \wedge vu)$  obeys the order relations that  $\delta$  and  $v$  specify:

$$e < (\text{cut } du. \delta d \wedge vu) < t \quad \iff \quad \delta e \wedge vt.$$

As in the  $\lambda$ -calculus, this simply **substitutes** part of the context for the bound variables.

The  **$\eta$ -rule** says that any real number  $a$  defines a Dedekind cut in the obvious way:

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There is a **normalisation theorem** whereby this syntax for individual real numbers can be translated into **interval computation**.

# Witness: John Cleary

		$\mathbb{N}$	$\mathbb{R}$	$\mathbb{N}\&\Sigma$	$\mathbb{R}\&\Sigma$	$\mathbb{N}\&?$	$\Sigma$
$\mathbb{N}$	0	succ				rec	the
$\mathbb{R}$	0, 1	$n$	$+, -, \times, \div$			rec	cut
$\Sigma$	$\top, \perp$	$=, \leq, \geq$ $<, >, \neq$	$<, >, \neq$	$\exists n$	$\exists x : \mathbb{R}$ $\forall x : [a, b]$	rec	$\wedge, \vee$

This syntax can be manipulated using **constraint logic programming**.

## Summary of the formalist defence: precedent

set theory:         $\{- \mid -\}$     membership

$\lambda$ -calculus:         $\lambda$         application

descriptions:         $\bar{t}$         equality

Dedekind cuts:        cut        order



## Witness: Marshall Stone

A term  $P : \Sigma^{\Sigma^X}$  or  $P : (X \rightarrow \Sigma) \rightarrow \Sigma$  is **prime** if

$$P\top \Leftrightarrow \top \quad P(\phi \wedge \psi) \Leftrightarrow P\phi \wedge P\psi$$

$$P\perp \Leftrightarrow \perp \quad P(\phi \vee \psi) \Leftrightarrow P\phi \vee P\psi$$

(This idea was in Aleš Pultr's first lecture on Monday.)

The space  $X$  is **sober** if it has introduction and  $\beta$ -rules

$$\frac{P : \Sigma^{\Sigma^X} \quad \text{prime}}{(\text{focus } P) : X} \quad \frac{P : \Sigma^{\Sigma^X} \quad \text{prime} \quad \phi : \Sigma^X}{\phi(\text{focus } P) \Leftrightarrow P\phi}$$

where elimination is application and the  $\eta$ -rule is

$$P \equiv \text{thunk } a \equiv \eta_X a \equiv \lambda\phi. \phi a.$$

(**thunk** and **force** are used in extensions of functional programming languages that allow *computational effects* such as **goto**.)

## Descriptions as primes

If  $\alpha : \Sigma^{\mathbb{N}}$  is a description then

$$P \equiv \lambda\phi. \exists x. \alpha x \wedge \phi x$$

is prime.

If  $P : \Sigma^{\Sigma^{\mathbb{N}}}$  is prime then

$$\alpha \equiv \lambda x. P(\lambda y. x = y)$$

is a description.

If one satisfies the relevant rules then so does the other.

## Dedekind cuts as primes

If  $(\delta, v)$  is a Dedekind cut then

$$P \equiv \lambda\phi. \exists du. \delta d \wedge (\forall x: [d, u]. \phi x) \wedge vu$$

is prime (relying on the co-defendants, Heine–Borel).

If  $P : \Sigma^{\Sigma^{\mathbb{R}}}$  is prime then

$$\delta \equiv \lambda d. P(\lambda x. d < x) \quad v \equiv \lambda u. P(\lambda x. x < u)$$

is a Dedekind cut.

If one satisfies the relevant rules then so does the other.

## Witness: Peter Schuster

Let  $f : [0, 1] \rightarrow [0, 1]$  be continuous. Suppose that

- ▶  $\inf \{fx \mid x : [0, 1]\} = 0$ , and
- ▶  $x \neq y \Rightarrow (fx > 0) \vee (fy > 0)$ .

Then  $fx = 0$  for some (unique)  $x$ .

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Then  $\omega \equiv \lambda x. (fx \neq 0)$  is a **co**description:

$$\begin{array}{ll} (\forall x : [0, 1]. \omega x) \Leftrightarrow \perp & \text{cf.} \quad (\exists n : \mathbb{N}. \alpha n) \Leftrightarrow \top \\ x \neq y \Rightarrow \omega x \vee \omega y & \text{cf.} \quad n = m \Leftarrow \alpha n \wedge \alpha m \end{array}$$

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Then  $\omega \equiv \lambda x. (fx \neq 0)$  is a **codescription**:

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Also

- ▶  $P \equiv \lambda \phi. \forall x : [0, 1]. \omega x \vee \phi x$  is **prime**;
- ▶ *cf.*  $P \equiv \lambda \phi. \exists n : \mathbb{N}. \alpha n \vee \phi n$ ;
- ▶  $\delta \equiv \lambda d. \forall x : [0, d]. \omega x$  and  $v \equiv \lambda u. \forall x : [u, 1]. \omega x$   
define a **Dedekind cut**.

# Witnesses: Jon Beck and Joachim Lambek

A space  $X$  is **sober** if every **homomorphism**  $\Sigma^X \rightarrow \Sigma^\Gamma$  is  $\Sigma^f$  for some unique **function**  $f : \Gamma \rightarrow X$ .

A space  $X$  is sober iff the diagram

$$X \xrightarrow{x \mapsto \lambda\phi. \phi x} \Sigma^{\Sigma^X} \begin{array}{c} \xrightarrow{F \mapsto \lambda\Phi. \Phi(\lambda\phi. F\phi)} \\ \xrightarrow{F \mapsto \lambda\Phi. F(\lambda x. \Phi(\lambda\phi. \phi x))} \end{array} \Sigma^{\Sigma^{\Sigma^X}}$$

is an **equaliser**.

## Witnesses: Jon Beck and Robert Paré

Every homomorphism  $\Sigma^X \rightarrow \Sigma^\Gamma$  is  $\Sigma^f$  for some unique function  $f : \Gamma \rightarrow X$ .

Every **algebra** is  $\Sigma^X$  for some unique **space**  $X$ .

Lindenbaum–Tarski–Paré: the category of sets or any elementary topos has this property.



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*The court will adjourn for eight years 1997-2005,*

while I prepare the **formalist defence** of **Heine–Borel**:

There is an algebra that

- ▶ has **Dedekind** cuts as its points; and
- ▶ obeys **Heine–Borel**:  $[0, 1] \subset \mathbb{R}$  is compact.

## The topology on $\mathbb{R}$ as an algebra

The topology,  $\Sigma^{\mathbb{R}}$ , on  $\mathbb{R}$  is a **retract** of the topology on the space  $\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}$  of Dedekind cuts:

$$\begin{array}{ccc} & I & \\ \Sigma^{\mathbb{R}} & \xrightarrow{\quad \text{dotted arrow} \quad} & \Sigma^{\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}} \\ & \xleftarrow{\quad \Sigma^i \quad} & \end{array}$$

This says that

$$\mathbb{R} \xrightarrow{\quad i \quad} \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}$$

has the **subspace topology** in a **canonical** way.

We shall look at this classically first.

Then we show how to define the retract just using rationals.

## Witness: Ramon Moore

In order to use Dedekind cuts for real computation, we must extend the definitions of the arithmetic operations.

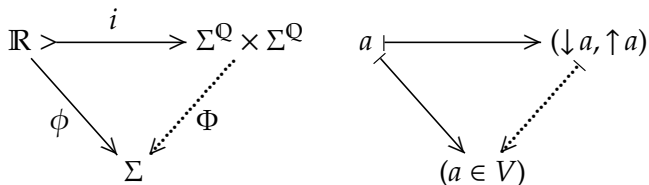
$$\begin{array}{ccc} \mathbb{R} \times \mathbb{R} & \xrightarrow{i \times i} & \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}} \\ \downarrow + & & \vdots \oplus \\ \mathbb{R} & \xrightarrow{i} & \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}} \end{array}$$

For the arithmetic operations, this was done classically by Ramon Moore, [Interval Analysis](#), 1966.

How does this work for open sets?

# Extending open subspaces classically

Recall that  $\phi : \Sigma^{\mathbb{R}}$  defines an **open subspace**  $V \subset \mathbb{R}$ .



We require  $(a \in V) \equiv \phi a \iff \Phi(ia) \equiv \Phi(\downarrow a, \uparrow a)$ .

So  $\mathbb{R}$  has the **subspace topology** inherited from  $\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}$ .

$$V \mapsto \{(D, U) \mid \exists d \in D. \exists u \in U. d < u \wedge ([d, u] \subset V)\}$$

$$\phi \mapsto \lambda \delta v. \exists d u. \delta d \wedge v u \wedge d < u \wedge \forall x : [d, u]. \phi x$$

## We can settle this argument rationally

We have defined the idempotent  $\mathcal{E} \equiv I \cdot \Sigma^i$  on  $\Sigma^{\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}}$  by

$$\begin{aligned}\mathcal{E}\Phi(\delta, \nu) &\equiv I(\lambda x. \Phi(ix))(\delta, \nu) \\ &\Leftrightarrow \exists du : \mathbb{R}. \delta d \wedge \nu u \wedge \forall x : [d, u]. \Phi(\delta_x, \nu_x) : \Sigma^{\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}}.\end{aligned}$$

Since  $\Phi$  is **Scott continuous** and  $[d, u]$  is **compact**, this is

$$\begin{aligned}\exists q_0 < \dots < q_{2n+1} : \mathbb{Q}. \quad & \delta q_1 \wedge \nu q_{2n} \wedge \\ & \bigwedge_{k=0}^{n-1} \Phi(\lambda e. e < q_{2k}, \lambda t. q_{2k+3} < t)\end{aligned}$$

(See *Dedekind Reals in ASD*.)

**This only depends on rational numbers and predicates.**

# The case for and against Heine–Borel

Let  $\mathcal{E}$  be the **rationaly defined** idempotent on  $\Sigma^{\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}}$ .  
This is **the same** in all foundational situations.

In **each** situation, let  $i : R \rightarrow \Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}$  be the subspace of Dedekind cuts.

**Classically**, there is a Scott continuous function  
 $I : \Sigma^{\mathbb{R}} \rightarrow \Sigma^{\Sigma^{\mathbb{Q}} \times \Sigma^{\mathbb{Q}}}$  such that  $\Sigma^i \cdot I = \text{id}$  and  $I \cdot \Sigma^i = \mathcal{E}$ .

In **other situations**, *e.g.* Russian Recursive Analysis,  
 **$I$  need not exist.**

Indeed, it exists **iff**  $R$  is locally compact **iff**  $[0, 1]$  is compact.

The “subspace” is an **equaliser** that depends on what objects exist in the **category**.

## Witness: Paul Taylor

This argument is useless if it only applies to  $\mathbb{R}$  in isolation.

We must construct a **new category** whose objects are **formal**  $\Sigma$ -split subspaces  $\{X \mid E\} \rightsquigarrow X$ .

(*cf.* constructing a new field containing a formal root of a polynomial).

The good news:



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The good news: there is an equivalent **type theory** with a normalisation theorem.

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(*cf.* constructing a new field containing a formal root of a polynomial).

The good news: there is an equivalent **type theory** with a **normalisation theorem**.

The bad news: **all of this takes over 200 journal pages** [A,B,G].

## Further differences of opinion

There are many human objectives that are best achieved by **co-operation** with your allies, even if they only agree on a few things.

Designing a system of mathematical axioms is **not** one of them.

We **borrow ideas** and try to talk **comparable languages**.

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**Formal topology** is founded on **Martin-Löf type theory**.

This has, in particular,  $\implies$  and  $\Pi$ .

**Locale theory** is founded on the theory of **elementary toposes**.

This has, in particular, **powersets**,  $\mathcal{P}(X) = \Omega^X$ .

These are both (different) logics of **discrete sets**, **on top of which** topology is defined.

## Yet more differences of opinion

**Abstract Stone Duality** is a logic of **pure topology**,  
and of **computation**.

$\Rightarrow$  is neither continuous nor computable.

In ASD,  $\Sigma$  just has  $\wedge$ ,  $\vee$ ,  $\exists_{\mathbb{N}}$  and  $\forall_{[0,1]}$ .

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Abstract Stone Duality, locale theory and formal topology  
all define spaces *via* their **algebras** of open sets.

In ASD, this algebra is another space,  
in locale theory it's a set or object of a topos,  
in formal topology it is generated by a Martin-Löf type.

## Yet more differences of opinion

**Abstract Stone Duality** is a logic of **pure topology**,  
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They all prove the Heine–Borel theorem.

Martín Escardó has developed some ideas about topology and  
computation using a similar logic on  $\Sigma$ .

However, he does not define spaces *via* algebras.

He has different opinions about the Heine–Borel theorem.



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The **overt discrete** objects (those with  $\exists$  and  $=$ ) admit

- ▶ products  $\mathbf{1}$  and  $\times$ ;
- ▶ equalisers (sets of solutions of equations);
- ▶ stable disjoint unions  $\emptyset$  and  $+$ ;
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This too depends on the definition of spaces *via* algebras.

Since the logic of ASD is very weak, the proofs are very long.

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**Proof:** Put  $\phi_{x,\epsilon}y \equiv (|fy - fx| < \epsilon)$ , with **parameters**  $x, \epsilon : \mathbb{R}$ .

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**Theorem:** Every function  $f$  is **uniformly continuous** on any **compact** subspace  $K \subset \mathbb{R}$ :

$$\epsilon > 0 \Rightarrow \exists \delta > 0. \forall x : K. \forall y: [x \pm \delta]. (|fy - fx| < \epsilon)$$

**Proof:**  $\exists \delta > 0$  and  $\forall x : K$  commute.



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We shall use this language to study

- ▶ other compact subspaces of  $\mathbb{R}$  besides  $[0, 1]$ ;
- ▶ a new kind of subspace called overt; and
- ▶ **connectedness**.

## Compact subspaces and necessity

The **finite open sub-cover** definition says that, for a compact subspace  $K$ , the predicate  $K \subset U$  is **Scott continuous** in  $U$ .

Martín Escardó explained this in his lecture on Monday.

We have already written  $\forall x: K. \phi x$  for  $K \subset U$ .

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We have already written  $\forall x: K. \phi x$  for  $K \subset U$ .

We shall now write  $\Box \phi$  for the same thing.

It **defines** the subspace  $K$   
(at least in an ambient **Hausdorff** space).

# Properties of compact subspaces

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Any closed subspace  $C$  of a compact space  $K$  is again **compact**,  
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The **direct image** of  $\Box$  under  $f : X \rightarrow Y$  is also compact

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## Overt subspaces and possibility

We wrote  $\forall x: K. \phi x$  or  $\Box \phi$  for  $K \subset U$  ( $U$  **covers**  $K$ ).

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Classically, for any set  $S \subset X$  of points, write

$$\Diamond \phi \equiv \exists x \in S. \phi x : \Sigma$$

for the property that  $U$  **touches** the set  $S$  (*i.e.* they intersect non-trivially).

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Indeed,  $\Diamond \exists i. \phi_i \Leftrightarrow \exists i. \Diamond \phi_i$ .

Forgetting the set  $S$ , we can consider any term  $\Diamond : \Sigma^{\Sigma^X}$  that preserves disjunction like this.

We call  $\Diamond$  an **overt subspace**.

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## Overtness elsewhere

**Open locales**, *i.e.* those for which  $X \rightarrow \mathbf{1}$  is an open map, were introduced by Peter Johnstone, André Joyal, Myles Tierney,...

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**Total boundedness** and **locatedness** are **metrical** ideas that are used in **constructive analysis** to do the same things.

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But it is **computation** that makes the need for this idea most apparent.

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It abstracts **interval halving** algorithms:

if  $\diamond(0, 1)$  then either  $\diamond(0, \frac{2}{3})$  or  $\diamond(\frac{1}{3}, 1)$ ,

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Here constructive and numerical analysts are arguing at **cross purposes**.

There are **other** (logic programming) methods of finding solutions (members, accumulation points) of  $\diamond$  operators.

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Suppose that  $a : X$  satisfies  $(\lambda \phi. \phi a) \leq \diamond$ .

Let  $\phi : \Sigma^X$  be a **neighbourhood** of  $a$ , so  $\phi a \Leftrightarrow \top$ .

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In other words, some element of the sequence also belongs to  $\phi$ ,  
i.e.  $a$  is an accumulation point of the sequence.

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Some consequences of overttness of direct images.

Any overt subspace has **the same  $\diamond$  operator** as its (sequential) **closure** (if this exists).



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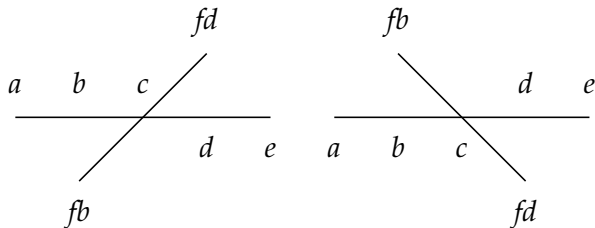
This is a common hypothesis in classical analysis and topology, where all subspaces are overt for trivial reasons.

Is overtness the constructive content of this hypothesis?

**Do all overt subspaces have dense subsequences?**

## Stable zeroes

Numerical algorithms find zeroes with this property:



**Definition:**  $c : \mathbb{R}$  is a **stable zero** of  $f$  if

$$a, e : \mathbb{R} \vdash a < c < e \Rightarrow \exists bd. \quad (a < b < c < d < e) \\ \wedge (fb < 0 < fd \vee fb > 0 > fd).$$

The subspace  $Z \subset [0, 1]$  of **all** zeroes is **compact**.

The subspace  $S \subset [0, 1]$  of **stable** zeroes is **overt**.

## Straddling intervals

An open subspace  $U \subset \mathbb{R}$  contains a stable zero  $c \in U \cap S$  iff  $U$  also contains a **straddling interval**,

$$[b, d] \subset U \quad \text{with} \quad fb < 0 < fd \quad \text{or} \quad fb > 0 > fd.$$

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[ $\Rightarrow$ ] From the definitions. [ $\Leftarrow$ ] The straddling interval is an intermediate value problem in miniature.

**Notation:** Write  $\diamond U$  if  $U$  **contains** a straddling interval.

$$\begin{aligned} \diamond \phi &\equiv \exists bd. && (\forall x: [b, d]. \phi x) \\ &\wedge && (fb < 0 < fd) \vee (fb > 0 > fd). \end{aligned}$$

## Modal operators, separately

$\square$  encodes the **compact** subspace  $Z \equiv \{x \in \mathbb{I} \mid fx = 0\}$  of **all** zeroes.

$\diamond$  encodes the **overt** subspace  $S$  of **stable** zeroes.

$$\square \top \Leftrightarrow \top \qquad \diamond \perp \Leftrightarrow \perp$$

$$\square(\phi \wedge \psi) \Leftrightarrow \square \phi \wedge \square \psi \qquad \diamond(\phi \vee \psi) \Leftrightarrow \diamond \phi \vee \diamond \psi$$

$$(Z \neq \emptyset) \quad \text{iff} \quad \square \perp \Leftrightarrow \perp$$

$$(S \neq \emptyset) \quad \text{iff} \quad \diamond \top \Leftrightarrow \top$$

## Modal operators, together

In the intermediate value theorem  
for functions that don't hover (e.g. polynomials):

- ▶  $S = Z$  in the **non-singular** case
- ▶  $S \subset Z$  in the **singular** case (e.g. double zeroes).

$\diamond$  and  $\square$  for the subspaces  $S \subset Z$  are related in general by:

$$\square \phi \wedge \diamond \psi \Rightarrow \diamond(\phi \wedge \psi)$$

(this happens even when there are double zeroes and  $S \neq Z$ )

$S = Z$  (more precisely,  $S$  is dense in  $Z$ ) iff

$$\square(\phi \vee \psi) \Rightarrow \square \phi \vee \diamond \psi$$



## Modal operators *versus* sets of zeroes

Example: cubic equation  $x^3 + 3px + 2q = 0$

As  $p$  and  $q$  vary, the **set** of real zeroes goes from 3 to 2 to 1 and back.

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Such a description cannot be continuous.

The modal operators  $\Box$  and  $\Diamond$  are (Scott) **continuous throughout the parameter space**.

**Something** must break at singularities: it is **one of the mixed modal laws**.

# Compact overt subspaces

This conjunction is **very** powerful:

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**Theorem:** It is **decidable** whether such a subspace is

- ▶ **empty**, when  $\Box \perp \Leftrightarrow \top$ , or
- ▶ **inhabited**, when  $\Diamond \top \Leftrightarrow \top$ .

**Proof:**

$$\begin{array}{lll} \Diamond \top \Leftrightarrow \perp & \text{empty} & \Box \perp \Leftrightarrow \top \\ \Diamond \top \Leftrightarrow \top & \text{inhabited} & \Box \perp \Leftrightarrow \perp \\ \Box \perp \vee \Diamond \top \Leftarrow & \text{complementary} & \Box \perp \wedge \Diamond \top \Rightarrow \\ \Box(\perp \vee \top) \Leftrightarrow \Box \top \Leftrightarrow \top & \text{(mixed)} & \Diamond(\perp \wedge \perp) \Leftrightarrow \Diamond \perp \Leftrightarrow \perp \end{array}$$

## Non-empty compact and overt subspaces

An **accumulation point**  $a : X$  of an **overt** subspace  $\diamond$  satisfies  $\lambda\phi. \phi a \leq \diamond$ .

Then  $\diamond$  is **inhabited**, *i.e.*  $\diamond \top \Leftrightarrow \top$ .

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A **point**  $a : X$  of (the saturation of) a **compact** subspace  $\square$  satisfies  $\lambda\phi. \phi a \geq \square$ .

Then  $\square$  is **occupied**, *i.e.*  $\square \perp \Leftrightarrow \perp$ .

Example: any function  $f : K \rightarrow \mathbb{R}$  on a compact space is bounded and attains its bounds and any given intermediate value on an **occupied** subspace.

# Connectedness

# Language and metalanguage

A simple counterexample concerning the intersection of two overt subspaces shows the importance of **evidence**.

**Example:** Let  $g : \mathbb{R}$  such that neither  $\vdash g = 0$  nor  $\vdash g \neq 0$ .

Let  $K \equiv \{0\} \cap \{g\}$  and  $U \equiv \mathbb{R} \setminus K$ .

$U$  is the open, overt subspace and  $K$  the closed, compact one defined by  $\delta \vee v : \mathbb{R} \rightarrow \Sigma$ , where

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# Language and metalanguage

A simple counterexample concerning the intersection of two overt subspaces shows the importance of **evidence**.

**Example:** Let  $g : \mathbb{R}$  such that neither  $\vdash g = 0$  nor  $\vdash g \neq 0$ .

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If  $g = 0$  then  $K = \{0\}$ , which is compact and overt.

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No: the observation  $\Diamond \top$  would allow us to detect  $g = 0$ .

## Connectedness, classically

**Definition:** A space  $X$  is **connected** if any  $f : X \rightarrow \mathbf{2}$  is constant.

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Yes: if  $(\sqrt{2})^{(\sqrt{2})}$  is rational then let  $a \equiv b \equiv \sqrt{2}$ .

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However,  $U$  is not **constructively** connected.

## Constructive (overt) connectedness

An **overt** subspace  $I \subset X$  defined by  $\diamond : \Sigma^{\Sigma^X}$  is **connected** if

$$\diamond \top \Leftrightarrow \top \quad \text{and} \quad \phi \vee \psi = \top_I \vdash \diamond \phi \wedge \diamond \psi \Rightarrow \diamond(\phi \wedge \psi),$$

where  $\phi, \psi : \Sigma^X$ , so **whenever  $I \subset U \cup V$  is covered by open inhabited subspaces, their intersection is inhabited.**



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**Proposition:** Any function  $f : I \rightarrow \mathbb{R}$  that takes values **both above  $-\epsilon$  and below  $+\epsilon$**  also takes values **within  $\epsilon$**  of zero:

$$\exists xz : I. (-\epsilon < fx) \wedge (fz < +\epsilon) \Rightarrow \exists y : I. (-\epsilon < fy < +\epsilon),$$

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## Dually, compact connectedness

A **compact** subspace  $K \subset X$  defined by  $\square : \Sigma^{\Sigma^X}$  is **connected** if

$$\square \perp \Leftrightarrow \perp \quad \text{and} \quad \phi \wedge \psi = \perp_I \vdash \square \phi \vee \square \psi \Leftarrow \square(\phi \vee \psi),$$

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**Proof:** Let  $\phi x \equiv (0 < fx)$  and  $\psi x \equiv (fx < 0)$ , so  $\phi \wedge \psi = \perp$ . Then

$$\begin{aligned} (\forall x. 0 < fx) \vee (\forall x. fx < 0) &\equiv \Box \phi \vee \Box \psi \\ &\Leftarrow \Box(\phi \vee \psi) \equiv (\forall x. fx \neq 0). \end{aligned}$$

## Three definitions of connectedness?

**Theorem:** For any compact overt subspace, all three definitions are equivalent.

**Proof:** Using the mixed modal laws

$$\diamond\phi \wedge \square\psi \Rightarrow \diamond(\phi \wedge \psi) \quad \text{and} \quad \diamond\phi \vee \square\psi \Leftarrow \square(\phi \vee \psi)$$

and the Gentzen-style rules

$$\frac{\sigma \Leftrightarrow \top \vdash \alpha \Rightarrow \beta}{\vdash \sigma \wedge \alpha \Rightarrow \beta} \quad \frac{\sigma \Leftrightarrow \perp \vdash \alpha \Rightarrow \beta}{\vdash \alpha \Rightarrow \beta \vee \sigma}$$

connectedness may be expressed in four equivalent ways:

$$\begin{aligned} \phi \vee \psi = \top \quad \vdash \quad & \diamond\phi \wedge \diamond\psi \Rightarrow \diamond(\phi \wedge \psi) \\ \phi \wedge \psi = \perp \quad \vdash \quad & \square\phi \vee \square\psi \Leftarrow \square(\phi \vee \psi) \\ \square(\phi \vee \psi) \quad \Rightarrow \quad & \square\phi \vee \square\psi \vee \diamond(\phi \vee \psi) \\ \diamond(\phi \wedge \psi) \quad \Leftarrow \quad & \square(\phi \vee \psi) \wedge \diamond\phi \wedge \diamond\psi \end{aligned}$$

## The interval $[0, 1]$ is connected (usual proof)

Any closed subspace of a compact space is compact.

Any open subspace of an overt space is overt.

Any clopen subspace of an overt compact space is overt compact, so it's either empty or has a maximum.

Since the clopen subspace is open, its elements are interior, so the maximum can only be the right endpoint of the interval.

Any clopen subspace has a clopen complement.

- ▶ They can't both be empty, but
- ▶ in the interval they can't both have maxima (the right endpoint).

Hence one is empty and the other is the whole interval.

# Converse

**Theorem:** Any compact overt connected subspace  $K \subset \mathbb{R}$  is an interval  $[d, u]$ .

**Proof:** If  $K$  is compact overt,

- ▶ either  $K \cong \emptyset$ , which is forbidden by either definition of connectedness;
- ▶ or it has  $e \equiv \min K$  and  $t \equiv \max K$ .

We want to show that  $\omega x \equiv (\forall y: K. x \neq y)$  is  $\delta x \vee vx$ , where  $(\delta, v)$  is the pseudo-Dedekind cut  
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**This should be impossible.**

Imagine arriving from a hike at an isolated bus stop to find the timetable obliterated.

The one daily bus is not there now ( $\omega x$ ).

How can you decide whether you should wait for it ( $\delta x$ ) or if it's already gone ( $vx$ )?

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The one daily bus is not there now ( $\omega x$ ).

How can you decide whether you should wait for it ( $\delta x$ ) or if it's already gone ( $vx$ )?

You can't. But we can still prove a more general theorem...

# Compact intervals

**Theorem:** Any compact connected subspace  $K \equiv (\square, \omega) \subset \mathbb{R}$  is a closed interval  $[\delta, v]$ , *i.e.* it is co-classified by  $\delta \vee v$  where  $(\delta, v)$  is a rounded, bounded and disjoint pseudo-cut.

**Proof:** For  $x : \mathbb{R}$ , let  $\phi_x y \equiv (y < x)$  and  $\psi_x y \equiv (x < y)$ , so  $\phi_x \wedge \psi_x = \perp$ . By compact connectedness,

$$\omega x \equiv \square(\lambda y. x \neq y) \equiv \square(\phi_x \vee \psi_x) \Leftrightarrow \square \phi_x \vee \square \psi_x,$$

so  $\delta d \equiv \square \phi_d$  and  $vu \equiv \square \psi_u$ .

(Either the bus hasn't come yet, or it will never come again.)

$\delta$  and  $v$  are disjoint because

$$\begin{aligned} \delta x \wedge vx &\equiv \square(\lambda y. y < x) \wedge \square(\lambda y. x < y) \\ &\Leftrightarrow \square(\lambda y. y < x \wedge x < y) \Leftrightarrow \square \perp \Leftrightarrow \perp. \end{aligned}$$

# Open intervals

**Theorem:** Let  $U \subset \mathbb{R}$  be open, classified by  $\alpha : \Sigma^{\mathbb{R}}$ .

This is overt connected iff  $\alpha = \delta \wedge v$ ,

where  $(\delta, v)$  is an overlapping pseudo-cut.

**Proof [ $\Rightarrow$ ]:** For  $x : \mathbb{R}$ , let  $\phi x \equiv \alpha x \wedge (x < y)$  and  $\psi x \equiv \alpha x \wedge (x > y)$ , so  $\diamond(\phi \wedge \psi) \Leftrightarrow \perp$ . Then

$$\alpha x \Rightarrow \alpha x \wedge (\alpha y \vee x \neq y) \Rightarrow \alpha y \vee \phi x \vee \psi x.$$

If  $\alpha y \Leftrightarrow \perp$ , this says that  $\alpha \leq \phi \vee \psi$ , so by overt connectedness,

$$\begin{aligned} \alpha y \Leftrightarrow \perp \vdash \alpha x \wedge (x < y < z) \wedge \alpha z &\Rightarrow \phi x \wedge \psi z \Rightarrow \diamond \phi \wedge \diamond \psi \\ &\Rightarrow \diamond(\phi \wedge \psi) \Rightarrow \perp \end{aligned}$$

Hence  $\alpha x \wedge (x < y < z) \wedge \alpha z \Rightarrow \alpha y$ , so  $\alpha = \delta \wedge v$ , where

$$\delta d \equiv \exists e. d < e \wedge \alpha e \quad \text{and} \quad v u \equiv \exists t. \alpha t \wedge t < u.$$

# The main case for the defence

Do our axioms characterise **the real** real line?

This is the crux of our **practical defence** of Heine–Borel.

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It is also our **counter-claim** against Bishop.

# Open sets as unions of intervals

Classically, any open  $U \subset \mathbb{R}$  is the union of at most countably many disjoint open intervals. Moreover this decomposition is unique.

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For any open  $U \subset \mathbb{R}$  classified by  $\phi : \Sigma^{\mathbb{R}}$ , define

$$\begin{aligned}(x \approx_{\phi} y) &\equiv ([x, y] \subset \phi) \wedge ([y, x] \subset \phi) \\ &\equiv (x > y \vee \forall z : [x, y]. \phi z) \wedge (x < y \vee \forall z : [y, x]. \phi z),\end{aligned}$$

which is a partial equivalence relation on  $\mathbb{R}$  that is reflexive exactly on  $U$ :  $\phi x \Leftrightarrow (x \approx x)$ .

We shall show that the equivalence classes of  $\approx$  are the required intervals.



## Open relations on the closed interval

**Lemma:** Let  $\sim$  be an open **reflexive** relation on  $\mathbb{I} \equiv [0, 1]$ :

$\dots, x, y : \mathbb{R} \vdash x \sim y : \Sigma$  such that  $\forall x : [0, 1]. x \sim x$ .

Then  $\sim$  is **represented** by **finitely** many dyadic rationals:

$\forall x : [0, 1]. x \sim x$

$\Rightarrow \forall x. \exists p, q : \mathbb{R}. p < x < q \wedge \forall y : [p, q]. x \sim y$

$\Rightarrow \forall x. \exists k, m : \mathbb{N}. 0 \leq m \leq 2^k \wedge x \sim \frac{m}{2^k}$

$\Rightarrow \exists k. \forall x. \exists m. 0 \leq m \leq 2^k \wedge x \sim \frac{m}{2^k}$

# Graph-theoretic connectedness

**Lemma:** Let  $\theta_0, \dots, \theta_{n-1}$  be open subsets of a space  $X$  that

- ▶ are each **inhabited** in  $(\diamond \theta_i)$  and
- ▶ together **cover**  $(\exists i < n. \theta_i) = \top_I$

an overt connected subspace  $I \subset X$  defined by  $\diamond$ .

Then the **overlaps** of the  $\theta_i$  define a **connected graph**, in the sense that there is some **permutation**  $p : \mathbf{n} \cong \mathbf{n}$  for which

$$\forall 1 \leq i < n. \quad \exists 0 \leq j < i. \quad \diamond(\theta_{p(i)} \wedge \theta_{p(j)}).$$

Because of the infinitary lemma, we want  $n : \mathbb{N}$  to be a **parameter**, so “fill in”  $\theta_i \equiv \top$  for  $i \geq n$ .

# Graph-theoretic connectedness

**Proof:** We prove by induction on  $1 \leq m \leq n$  that

$$\exists p: \mathbf{n} \cong \mathbf{n}. \begin{cases} \forall 1 \leq i < m. \exists 0 \leq j < i. \diamond(\theta_{p(i)} \wedge \theta_{p(j)}) \\ \wedge \forall m \leq i < n. p(i) = i, \end{cases}$$

where the initial case  $m \equiv 1$  is satisfied by  $p \equiv \text{id}$   
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Assume the induction hypothesis for some  $1 \leq m < n$  and put

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$$\diamond(\phi \wedge \psi) \equiv \exists m \leq i < n. \exists 0 \leq j < m. \diamond(\theta_i \wedge \theta_{p(j)}).$$

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Let  $s: \mathbf{n} \cong \mathbf{n}$  be the swap  $(m, i)$ , and  $p' \equiv s \cdot p$ .

Then  $p'$  satisfies the induction hypothesis for  $m+1$  in place of  $m$ .

# Equivalence relations

**Theorem:** Any open equivalence relation  $\sim$  on  $\mathbb{I} \equiv [0, 1]$  is **indiscriminate**, i.e.  $\forall x, y: \mathbb{I}. x \sim y$ , and in particular  $0 \sim 1$ .

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**Proof:** Using  $k$  from the infinitary lemma, put  $n \equiv 2^k + 1$  and  $\theta_i x \equiv (x \sim i \cdot 2^{-k})$  in the finitary one. Then  $\sim$  is connected in the graph-theoretic sense. As it is also symmetric and transitive,  $0 \sim 1$ , and more generally  $\forall xy: [0, 1]. x \sim y$ .



## Local connectedness with equivalence relations

**Corollary:** Any open **partial equivalence relation**  $\sim$  on  $\mathbb{R}$  satisfies

$$\left( \forall y: [x, z]. y \sim y \right) \Rightarrow x \sim z.$$

**Corollary:** Any function  $f : X \rightarrow N$  with  $N$  discrete is constant, where  $X \equiv \mathbb{I}, \mathbb{R}, (d, u)$  or  $(v, \delta)$ .

**Proof:** The open equivalence relation  $(x \sim y) \equiv (fx =_N fy)$  is indiscriminate.

# Witness: Andrej Bauer

Bishop cannot prove this without Heine–Borel.

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**Example:** Let  $n : \mathbb{N} \vdash \theta_n$  be a **singular cover** of  $[0, 1]$  in recursive analysis, *i.e.* one with **no finite subcover**.

Define the reflexive relation  $\sim$  by

$$(x \sim z) \equiv \exists n. \forall y: [x, z]. \theta_n y.$$

Then its symmetric transitive closure has **infinitely many equivalence classes** in  $[0, 1]$ .

## A universal property

**Proposition:**  $\approx$  is an **open partial equivalence relation** on  $\mathbb{R}$  that is reflexive on the open subspace classified by  $\phi$ :

$$\phi x \Rightarrow (x \approx x), \quad x \approx y \Rightarrow y \approx x \quad \text{and} \quad x \approx y \approx z \Rightarrow x \approx z.$$

The classes are **disjoint** in the sense that if any two overlap, they actually coincide. Each of these classes is open and connected.

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The classes are **disjoint** in the sense that if any two overlap, they actually coincide. Each of these classes is open and connected.

It is the **sparsest** such relation:

any other one,  $\sim$ , satisfies  $(x \approx y) \Rightarrow (x \sim y)$ .

Finally,  $(x \approx x) \Rightarrow \phi x$ .

## Countably many intervals

**Lemma:** From any open subspace  $U \subset \mathbb{R}$  there is an open surjection  $U \twoheadrightarrow N/\approx$  with open connected fibres onto an overt discrete space.

**Proof:** Let  $U$  be classified by  $\phi : \Sigma^{\mathbb{R}}$ .

Let  $N \equiv \{q : \mathbb{Q} \mid \phi q\} \subset \mathbb{Q}$ ,

which is an open subspace of an overt discrete space.

Then  $\approx$  restricts to a (total) open equivalence relation on  $N$ ,

so the quotient  $N/\approx$  is an overt discrete space.

Since  $\mathbb{Q} \subset \mathbb{R}$  is dense,  $N \twoheadrightarrow U$  is epi

so there is an open surjection  $U \twoheadrightarrow N/\approx$ .

*Geometric and Higher Order Logic in terms of ASD,  
Theory and Applications of Categories, 7 (2000) 284–338.*

## Order on the intervals

**Lemma:** The relation  $\leq$  on  $N/\approx$  defined by

$$[x] \leq [y] \equiv \exists p, q: \mathbb{Q}. x \approx p \leq q \approx y$$

is a total order, in the sense that

$$[x] \leq [x], \quad [x] \leq [y] \leq [x] \Rightarrow [x] \leq [z],$$

$$[x] \leq [y] \leq [x] \Rightarrow (x \approx y), \quad [x] \leq [y] \vee [y] \leq [x].$$

**Example:** It need not be **decidable**:

the open complement  $U$  of  $\{0\} \cap \{g\}$  has  $\{-1, +1\}/\approx$  components, where  $(-1 \approx +1) \equiv g \neq 0$ .

## Re-stating the universal property categorically

**Theorem:** Every open subspace  $U \subset \mathbb{R}$  is **locally connected**:

- ▶ there is a map  $p : U \twoheadrightarrow N/\approx$  with  $N/\approx$  discrete;
- ▶ any map  $f : U \rightarrow M$  to a discrete space factors uniquely as

$$\begin{array}{ccc} U & \xrightarrow{p} & N/\approx \\ \downarrow f & \searrow \cdots & \\ M & & \end{array}$$

- ▶  $N/\approx$  is overt and  $p$  is an **open surjection**;
- ▶ this representation is **unique up to unique isomorphism**.

**Proof:**  $(x \sim y) \equiv (fx =_M fy)$  is an open partial equivalence relation on  $\mathbb{R}$  with  $\phi x \Rightarrow x \sim x$ , so  $x \approx y \Rightarrow x \sim y$ . Hence  $f$  factors uniquely through the quotient.



# Witnesses: Richard Dedekind, Eduard Heine and Emile Borel

$\mathbb{R}$  is

- ▶ overt, with  $\exists$ ;
- ▶ Hausdorff, with  $\neq$ ;
- ▶ totally ordered, *i.e.*  $(x \neq y) \Leftrightarrow (x < y) \vee (y < x)$ ;
- ▶ a field, where  $x^{-1}$  is defined iff  $x \neq 0$ ;
- ▶ **Dedekind complete**; and
- ▶ Archimedean;
- ▶ and **the closed interval is compact**, with  $\forall$ .

**Practical** defence: these axioms are **natural, necessary and complete** for analysis.

**Formalist** defence: they have a **recursive** model (ASD).