

On the Reaxiomatisation of General Topology

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Topological spaces

A **topological space** is a set X (of **points**) equipped with a set of (“**open**”) subsets of X closed under finite intersection and arbitrary union.

Wood and chipboard

A **topological space** is a set X (of **points**) equipped with a set of (“**open**”) subsets of X closed under finite intersection and arbitrary union.

Chipboard is a set X of particles of **sawdust** equipped with a quantity of **glue** that causes the sawdust to form a cuboid.

Classifying subobjects

In a **topos** there is a **bijective** correspondence

- ▶ between **subobjects** $U \rightrightarrows X$
- ▶ and **morphisms** $X \longrightarrow \Omega$.

The exponential Ω^X is the **powerset**.

Similarly **upper subsets** of a poset or CCD-lattice.

$$\begin{array}{ccc} U & \longrightarrow & \mathbf{1} \\ \downarrow \lrcorner & & \downarrow \top \\ X & \cdots \longrightarrow & \Omega \end{array}$$

Classifying open subspaces

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In **topology** there is a **three-way** correspondence

- ▶ amongst **open** subspaces $U \hookrightarrow X$,
- ▶ **morphisms** $X \longrightarrow \Sigma \equiv \begin{pmatrix} \odot \\ \bullet \end{pmatrix}$,
- ▶ and **closed** subspaces $C \hookrightarrow X$.

This is **not set-theoretic complementation**.

The exponential Σ^X is the **topology**.

Topology as λ -calculus — Basic Structure

The category \mathcal{S} (of “spaces”) has

- ▶ an **internal distributive lattice** $(\Sigma, \top, \perp, \wedge, \vee)$
- ▶ and all **exponentials** of the form Σ^X

We do **not** ask for **all** exponentials (**cartesian closure**).
At least, not as an **axiom**.

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- ▶ **finite products**
- ▶ an **internal distributive lattice** $(\Sigma, \top, \perp, \wedge, \vee)$
- ▶ and all **exponentials** of the form Σ^X
- ▶ satisfying
 - ▶ for sets, the **Euclidean principle**

$$\sigma \wedge F\sigma \iff \sigma \wedge F\top$$

- ▶ for posets and CCD-lattices, the Euclidean principle and **monotonicity**
- ▶ for spaces, the **Phoa principle**

$$F\sigma \iff F\perp \vee \sigma \wedge F\top$$

The Euclidean and Phoa principles capture **uniqueness** of the correspondence amongst open and closed subspaces of X and maps $X \rightarrow \Sigma$ (**extensionality**).

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The **open-closed duality** in topology, though not perfect, runs **deeply** and **clearly** through the theory.

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Whenever you have a theorem in this language,
turn it upside down ($\top \leftrightarrow \perp$, $\wedge \leftrightarrow \vee$, $\exists \leftrightarrow \forall$, $\Rightarrow \leftrightarrow \Leftarrow$)

— you **usually** get another theorem.

Sometimes it's one you wouldn't have thought of.

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This duality is **obscured** in

- ▶ **traditional topology** and **locale theory** by \vee/\wedge
- ▶ **constructive** and **intuitionistic analysis** by $\neg\neg$.

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The theory is intrinsically **computable in principle**.

General topology is unified with **recursion theory**.

Recursion-theoretic **phenomena** appear.

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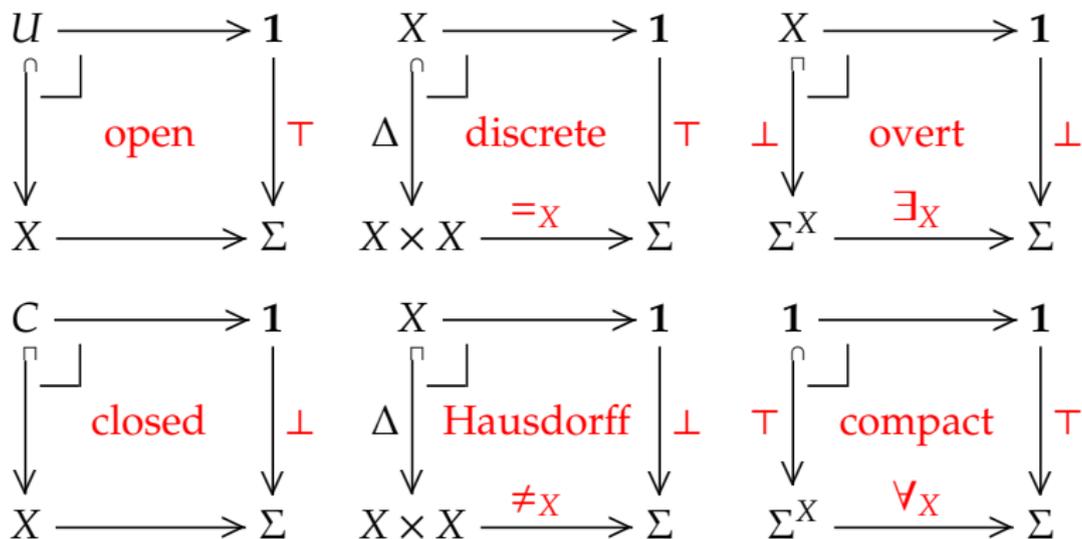
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There is no need for recursion-theoretic **coding**.

However, extracting executable programs is not obvious.

Some familiar definitions



The **Frobenius** laws for $\exists_X + \Sigma^{!X} + \forall_X$,

$$\exists_X(\sigma \wedge \phi) \iff \sigma \wedge \exists_X(\phi) \quad \text{and} \quad \forall_X(\sigma \vee \phi) \iff \sigma \vee \forall_X(\phi),$$

are special cases of the Phoa principle.

Some familiar theorems

Any **closed** subspace of a **compact** space is **compact**.

Any **compact** subspace of a **Hausdorff** space is **closed**.

The **inverse** image of any **closed** subspace is **closed**.

The **direct** image of any **compact** subspace is **compact**.

Some less familiar theorems

Any **open** subspace of a **overt** space is **overt**.

Any **overt** subspace of a **discrete** space is **open**.

The **inverse** image of any **open** subspace is **open**.

The **direct** image of any **overt** subspace is **overt**.

Are $2^{\mathbb{N}}$ and $\mathbb{I} \equiv [0, 1] \subset \mathbb{R}$ compact?

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Dcpo has the basic structure, plus equalisers and all exponentials.

$2^{\mathbb{N}}$ exists, and carries the **discrete** order.

The Dedekind and Cauchy reals may be defined.
They also carry the discrete order.

In this category, **the order determines the topology**.
The topology is **discrete**.

$2^{\mathbb{N}}$ and \mathbb{I} are **not compact**.

Abstract Stone Duality

The category of topologies is \mathcal{S}^{op} ,
the **dual** of the category \mathcal{S} of “spaces”.

Monadic axiom: It's also the category of
algebras for a monad on \mathcal{S} .

Inspired by Robert Paré, *Colimits in topoi*, 1974.

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Jon Beck (1966) characterised monadic adjunctions:

- ▶ $\Sigma(-) : \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}$ **reflects invertibility**,
i.e. if $\Sigma f : \Sigma Y \cong \Sigma X$ then $f : X \cong Y$, and
- ▶ $\Sigma(-) : \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}$ **creates $\Sigma(-)$ -split coequalisers**.

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Category theory is a strong drug —
it must be taken in small doses.

As in homeopathy (?),

it gets more effective the more we dilute it!

Diluting Beck's theorem (first part)

If $\Sigma f : \Sigma Y \cong \Sigma X$ then $f : X \cong Y$.

X is the **equaliser** of

$$X \xrightarrow{\eta_X} \Sigma^2 X \equiv \Sigma^{\Sigma X} \begin{array}{c} \xrightarrow{\eta_{\Sigma^2 X}} \\ \xrightarrow{\Sigma^2 \eta_X} \end{array} \Sigma^4 X$$

where $\eta_X : x \mapsto \lambda \phi. \phi x$.

(Without the axiom, an object X that has this property is called **abstractly sober**.)

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For $X \equiv \mathbb{R}$ it is **Dedekind completeness**.

Diluting Beck's theorem (second part)

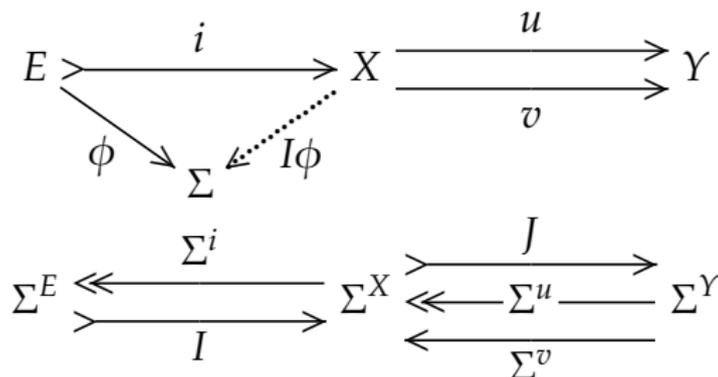
$\Sigma^{(-)} : \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}$ creates $\Sigma^{(-)}$ -split coequalisers.

Recall that a Σ -split pair (u, v) has some J such that

$$\Sigma^u ; J ; \Sigma^v = \Sigma^v ; J ; \Sigma^u \quad \text{and} \quad \text{id}_{\Sigma^X} = J ; \Sigma^u$$

Then their equaliser i has a splitting I such that

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$$\begin{array}{ccccc}
 E & \xrightarrow{i} & X & \xrightarrow[u]{v} & Y \\
 & \searrow \phi & & & \\
 & & \Sigma & \xleftarrow{I\phi} & \\
 \\
 \Sigma^E & \xleftarrow{\Sigma^i} & \Sigma^X & \xrightarrow{J} & \Sigma^Y \\
 & \xrightarrow{I} & & \xleftarrow{\Sigma^u} & \\
 & & & \xleftarrow{\Sigma^v} &
 \end{array}$$

This means that (*certain*) **subspaces** exist, and they have the **subspace topology** — every open subspace of E is the restriction of one of X , **in a canonical way**.

Applications of Σ -split subspaces

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It can, however, be used to prove that Σ is a **dominance** or **classifier for open inclusions** (closed ones too).

We may also construct

- ▶ the **lift** or **partial map classifier** X_{\perp} ,
- ▶ **Cantor space** $2^{\mathbb{N}}$, and
- ▶ the **Dedekind reals** \mathbb{R} .

Moreover, **$2^{\mathbb{N}}$ and \mathbb{I} are compact.**

More generally, it can be used to develop an abstract, finitary axiomatisation of the \ll relation for continuous lattices.

The free model is equivalent to the category of **computably based locally compact locales** and **computable continuous functions**.

Overt discrete objects

Recall: **discrete** spaces have **equality** ($=$),
overt spaces have **existential quantification** (\exists).

These play the role of **sets**.

For example, to **index** the basis of a locally compact space.

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The full subcategory $\mathcal{E} \subset \mathcal{S}$ of overt discrete spaces has:

- ▶ finite products,
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- ▶ definition by description.

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This is a **miracle**.

None of the usual structure of categorical logic
was **assumed** in order to make it happen.

Lists and finite subsets

On any **overt discrete** object X , there exist

- ▶ the **free semilattice** KX or “**set of Kuratowski-finite subsets**” and
- ▶ the **free monoid** $ListX$ or “**set of lists**”.

So \mathcal{E} (the full subcategory of overt discrete objects) is an **Arithmetic Universe**.

Kuratowski-finite = **overt, discrete and compact**.

Finite = **overt, discrete, compact and Hausdorff**.

Models of the monadic axiom

It is **easy** to find models of the monadic axiom.

If \mathcal{S}_0 has $\mathbf{1}$, \times and $\Sigma^{(-)}$, then $\mathcal{S} \equiv \mathcal{A}^{\text{op}}$ also has them, *and* the monadic property, where \mathcal{A} is the category of Eilenberg–Moore algebras for the monad on \mathcal{S} .

It also inherits

- ▶ the other basic structure (\top , \perp , \wedge , \vee and the Euclidean or Phoa axioms),
- ▶ \mathbb{N} (with recursion and description),
- ▶ the Scott principle.

However, it need not inherit other structure such as being cartesian closed or (a reflective subcategory of) a topos.

We call \mathcal{S} the **monadic completion** of \mathcal{S}_0 and write $\overline{\mathcal{S}_0}$ for it.

Escaping from local compactness

Most of the ideas that you try take you back in again!

Escaping from local compactness

The **extended calculus** should include

- ▶ all finite limits (in particular **equalisers**),
- ▶ **something** to control the **relationship** between equalisers and exponentials ($\Sigma^{(-)}$).

The second generalises the **monadic axiom**, which we needed to get the **correct topology** on $2^{\mathbb{N}}$ and \mathbb{R} .

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Less ambitiously, we look for axioms that ensure that \mathcal{S} includes the category $\mathbf{Loc}(\mathcal{E})$ of **locales**, or at least the category $\mathbf{Sob}(\mathcal{E})$ of **sober spaces** or **spatial locales**.

An interim model

Dana Scott's category **Equ** of **equilogical spaces**

- ▶ has the **basic structure**, \mathbb{N} and the **Scott principle**,
- ▶ includes all **sober spaces** (in the traditional sense) as **abstractly sober** objects, and
- ▶ satisfies the **underlying set axiom** (to follow).

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This is not the **definitive** model.

We just use it to guarantee **consistency** of the proposed axioms.

The Underlying Set Axiom

Recall that the **underlying set functor** \mathbf{U} from the classical category \mathbf{Sp} of (not necessarily T_0) spaces has adjoints

$$\begin{array}{ccccc} & & \mathbf{Sp} & & \\ & \uparrow & | & \uparrow & \\ \text{discrete} \equiv \Delta & \dashv & \mathbf{U} & \dashv & \text{indiscriminate} \\ & \downarrow & | & \downarrow & \\ & & \mathbf{Set} & & \end{array}$$

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In ASD, \mathbf{Sp} becomes \mathcal{S} and $\Delta : \mathbf{Set} \subset \mathbf{Sp}$ becomes $\mathcal{E} \subset \mathcal{S}$.

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Again, there's a corresponding **type theory**:

$$\frac{a : X}{\tau.a : \mathbf{U}X} \quad a = \varepsilon(\tau.a)$$

so long as the **free variables** of a are all of **overt discrete** type.

Overt discrete objects form a topos

Lemma: Any **mono** $X \rightarrow D$ from an overt object to a discrete one is an open inclusion, and therefore classified by Σ .

Theorem:

- ▶ The **underlying set** axiom $\Delta \dashv \mathbf{U}$ holds
- ▶ iff \mathcal{S} is **enriched** over \mathcal{E} , where

$$\mathcal{S}(X, Y) \rightrightarrows \mathbf{U}\Sigma^{\Sigma^Y \times X} \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{U}\Sigma^{\Sigma^3 Y \times X}$$

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- ▶ and then \mathcal{E} is an elementary **topos** with $\Omega \equiv \mathbf{U}\Sigma$.

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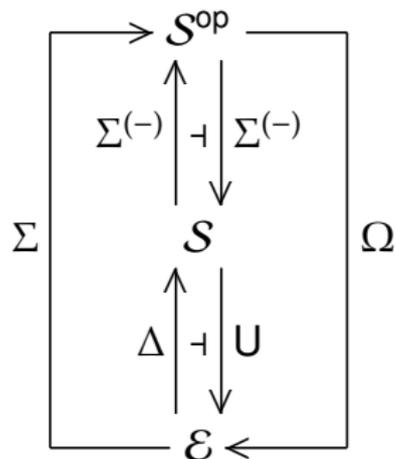
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Now we can compare **our** category \mathcal{S} with $\mathbf{Loc}(\mathcal{E})$ and $\mathbf{Sob}(\mathcal{E})$.

Comparing the monads

We have a composite of adjunctions over the topos \mathcal{E} :



The monad $\Omega \cdot \Sigma$ on \mathcal{E} is (isomorphic to) that for frames iff the general Scott principle holds,

$$\Phi\xi \iff \exists \ell : K(N). \Phi(\lambda n. n \in \ell) \wedge \forall n \in \ell. \xi n,$$

where N is any object of the topos \mathcal{E} , not necessarily countable, $\xi : \Sigma^N$ and $\Phi : \Sigma^{\Sigma^N}$.

Comparing \mathcal{S} with $\mathbf{Loc}(\mathcal{E})$

Assuming the general Scott principle as an **axiom**,

$\mathbf{Loc}(\mathcal{E})$ is the opposite of the category of Eilenberg–Moore algebras for the monad $\Omega \cdot \Sigma$ on \mathcal{E} .

There is an **Eilenberg–Moore comparison functor** $\mathcal{S} \rightarrow \mathbf{Loc}(\mathcal{E})$.

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There is an **Eilenberg–Moore comparison functor** $\mathcal{S} \rightarrow \mathbf{Loc}(\mathcal{E})$.

\mathcal{S} is too big — the functor is **not full or faithful**.

Comparing \mathcal{S} with $\text{Loc}(\mathcal{E})$

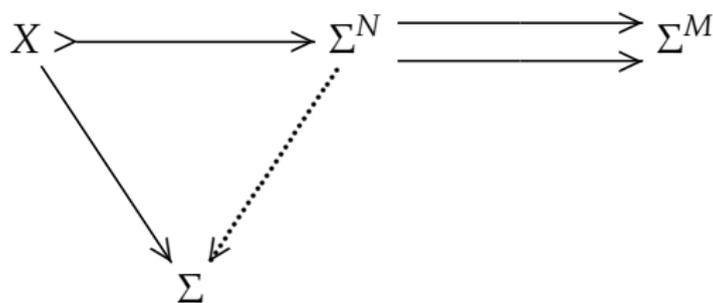
Consider the full subcategory $\mathcal{L} \subset \mathcal{S}$
of objects X that are expressible as equalisers

$$X \longrightarrow \Sigma^N \begin{array}{c} \longrightarrow \\ \longrightarrow \end{array} \Sigma^M$$

where $N, M \in \mathcal{E}$.

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Warning: It **cannot** be injective with respect to **all** regular monos in **whole** of \mathcal{S} .

Example: $\Sigma^{\mathbb{N}^{\mathbb{N}}} \times \mathbb{N}^{\mathbb{N}} \rightrightarrows \Sigma^{\mathbb{N}^{\mathbb{N}}} \times \mathbb{N}_{\perp}^{\mathbb{N}}$.

Characterising sober spaces and locales

Theorem: If Σ is **injective** with respect to equalisers in \mathcal{L} then the comparison functor factorises as

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Indeed $\mathcal{L} \cap \mathcal{P} \simeq \mathbf{Sob}(\mathcal{E})$,
where $\mathcal{P} \subset \mathcal{S}$ is the full subcategory of spaces X
with **enough points**, i.e. $\varepsilon : \mathbf{U}X \rightarrow X$.

Recall that $\mathcal{S} \equiv \overline{\mathbf{E}qu}$ provides a model of these assumptions
over any elementary topos \mathcal{E} .

Corollary: We have a **complete axiomatisation** of $\mathbf{Sob}(\mathcal{E})$ over
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Characterising sober spaces and locales

Theorem: If Σ is **injective** with respect to equalisers in \mathcal{L} then the comparison functor factorises as

$$\mathcal{S} \begin{array}{c} \xrightarrow{\quad} \\ \top \\ \xleftarrow{\quad} \end{array} \mathcal{L} \xrightarrow{\quad} \mathbf{Loc}(\mathcal{E})$$

Indeed $\mathcal{L} \cap \mathcal{P} \simeq \mathbf{Sob}(\mathcal{E})$,
where $\mathcal{P} \subset \mathcal{S}$ is the full subcategory of spaces X
with **enough points**, i.e. $\varepsilon : \mathbf{U}X \rightarrow X$.

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Corollary: We have a **complete axiomatisation** of $\mathbf{Sob}(\mathcal{E})$ over
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Using a **stronger injectivity axiom** we would be able to force
 $\mathcal{L} \equiv \mathbf{Loc}(\mathcal{E})$ and so completely axiomatise **locales**
if we had a model or other proof of consistency.

The extended computable theory

The injectivity axioms can only be **stated**
in the context of the **underlying set axiom**.

So they describe a **set theoretic** form of topology,
i.e. with the logical strength of an **elementary topos**.

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Nevertheless, **there is plenty to do** to develop the interim theory.